

Tuesday 23 January  
@DMA\_UK #dmaevents

# / Virtual: Legal and Marketing Compliance Update



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# / Welcome

Chris Combemale, CEO, DMA



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# / The AI Act at a glance

Enrico Giroto, Head of Policy, FEDMA





Federation of European Data and Marketing

## Legal and Marketing Compliance Update

23 January 2024

# The AI Act at a glance

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[www.fedma.org](http://www.fedma.org)

# Artificial Intelligence Act



- Social scoring
- Biometric categorization systems based on sensitive data.
- Emotion recognition in workplace and educational institutions.
- Untargeted scraping of facial images
- Manipulation of human behaviour
- Exploitation of people's vulnerabilities

## Art.5 Unacceptable risk Prohibited

- Products or safety components under EU harmonization legislation (e.g. aviation, medical devices).
- Biometric identification
- Education
- Employment
- Critical infrastructure
- Essential private/public services
- Migration/border control
- Law enforcement & justice administration
- Democratic processes

## Art.6 & ss High-risk Ex-ante conformity assessment & post- market obligations

- Chatbots
- Emotion recognition
- Biometric categorization
- Deep fake

## Art.52 Low-risk Transparency obligations

- AI enabled recommender systems
- Spam filters

## Art.59 Minimal risk Codes of Conduct

### List of high-risk AI obligations

- Risk management system (Art.9)
- Data & Data Governance (Art.10)
- Technical documentation (Art.11)
- Record keeping (Art.12)
- Transparency (Art.13)
- Human oversight (Art.14)
- Accuracy, robustness & cybersecurity (Art.15)
- Fundamental rights impact assessment (Art.29a)

### Safeguards for General-Purpose AI

**First tier** – transparency & copyrights obligations.  
**Second tier** – systemic risk assessment, adversarial testing, incident reporting, cybersecurity, energy efficiency reporting.

### Implementation and Enforcement

**EU AI Office** - administrative, standard setting and enforcement role  
**European AI Board** – advisory & coordination role  
**National Market Surveillance Authorities** – national enforcement

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# / Defining the DMA's approach to AI guidance

Tim Roe, Compliance Director, Redeye



**/ AI Taskforce**

**Defining the DMA's approach  
to AI**



# / Who are the DMA AI Task Force

Multi-disciplinary group of experts from across the various DMA councils.

Representing Governance, Contact Centres, Customer Engagement, Media, Print, B2B, Creative, Data and Email.

Very broad range of experience, from the latest generative LLM's, to training, IP, ML and data modelling, building and training AI, to developing assurance models to help govern the use of AI.

# AI Taskforce Focus

Focus on the themes of innovation, regulation, customer engagement, creativity and ethics to enable businesses to fully adopt the AI opportunity.

Identify critical areas for guidance as relates to AI in data and marketing

Evaluate the impact of AI to support the People Principle of the DMA Code

Help businesses mitigate the social and organisational risks of AI

Assess potential regulatory risks

# / What are the potential risks for AI

In the context of Marketing, risks were highlighted

- **Inaccuracy**
- **Data bias**
- **Data security**
- **Lack of control**
- **Insufficient user training**
- **Unintended Consequences**

The DMA code = principle-based code (2014)

Principles-based approach taken by the OECD and the UK government.

# / Forming a Positioning on AI

In line with the DMA Code, the DMA advocates for a customer focused / human centered approach to AI where the use of AI can truly be a force for good for businesses to prosper and customers to benefit.

Building trust through establishing and promoting best practice around the use of AI in data and marketing is key to delivering ethical and long-term growth.

# / Guidance approach

- Review the code in the context of AI and how to mitigate these risks
- Create guidance in the context of the code
  - Respect privacy
  - Be honest and fair
  - Be diligent with data
  - Take responsibility
  - Put your people at the heart of your marketing
- Create or update guidance to ensure that marketers are confident to realise the full opportunity of AI.

# / Watch this space

- Code has been reviewed
- Over the next few months, DMA industry guides will be reviewed and updated and re released (starting mid spring)
- DMA will be keeping a watching brief on AI best practices and changes in the regulatory regime.

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# / The UK's approach to AI regulation – and what this means for Marketers

Camilla Winlo, Head of Data Privacy, Gemserv



# UNDERSTANDING THE UK APPROACH TO AI – AND WHAT IT MEANS FOR MARKETERS

CAMILLA WINLO, HEAD OF DATA PRIVACY



**Gemserv**<sup>®</sup>

A Talan<sup>★</sup> Company

# THE UK APPROACH TO AI



**Gemserv**<sup>®</sup>

A Talan<sup>+</sup> Company

# FROM THE GOVERNMENT



**National  
Data  
Strategy**

**National  
AI  
Strategy**

**Plan for  
Digital  
Regulation**

**Bletchley  
Declaration**

**AI  
regulation:  
a pro-  
innovation  
approach**

**And more...**



# POLICY PAPER: A PRO-INNOVATION APPROACH TO AI REGULATION UPDATED 3 AUGUST 2023

While we should capitalise on the benefits of these technologies, we should also not overlook the new **risks** that may arise from their use, nor the **unease** that the complexity of AI technologies can produce in the wider public.

Our approach relies on **collaboration** between government, regulators and business.

**Science & technology superpower by 2030**

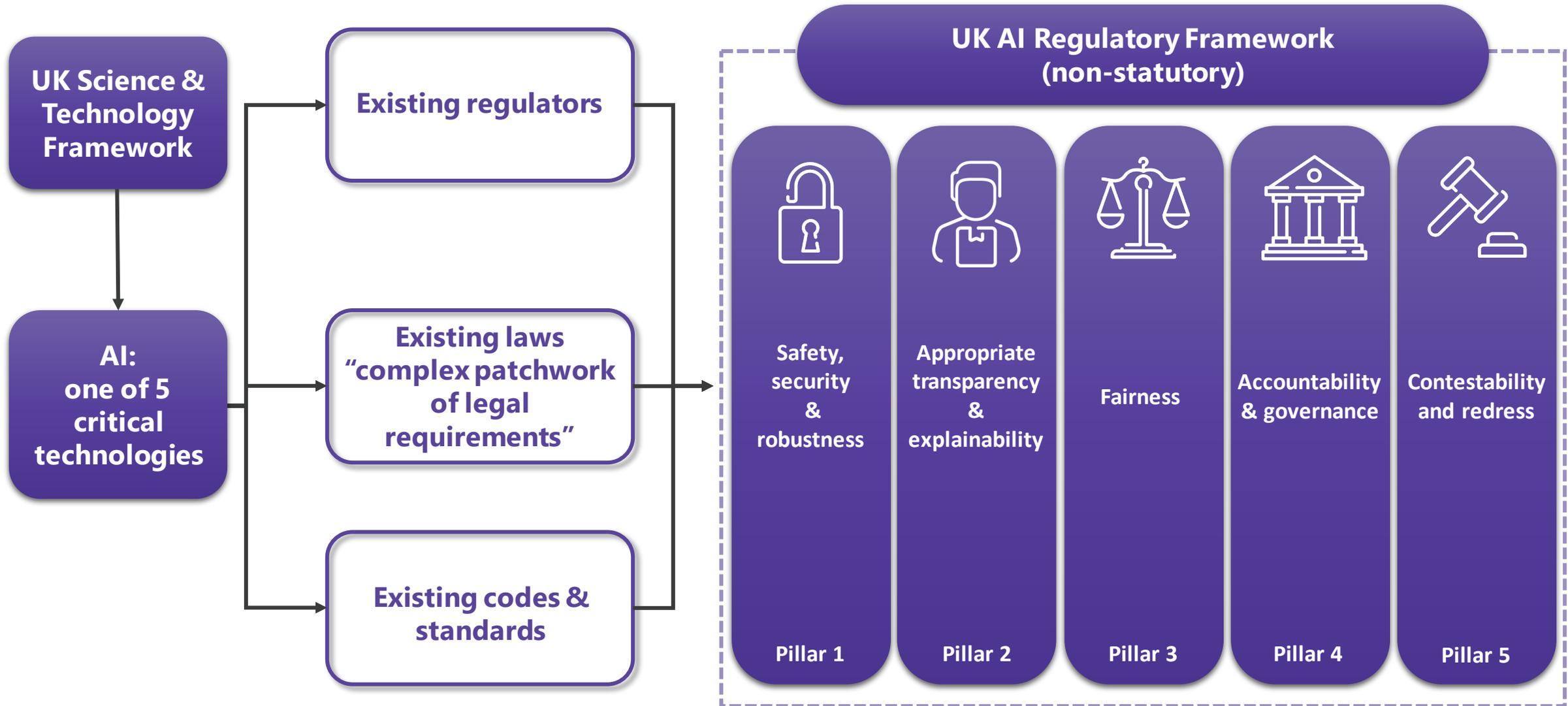
Industry repeatedly emphasised that **consumer trust is key**

We set out a **proportionate** and **pro-innovation** regulatory framework. Rather than target specific technologies, it focuses on **the context in which AI is deployed**. This enables us to take a **balanced approach** to weighing up the benefits versus the potential risks.

Our vision for a **future AI-enabled country** is one in which our ways of working are **complemented by AI** rather than disrupted by it.

While we should capitalise on the benefits of these technologies, we should also not overlook the new **risks** that may arise from their use, nor the **unease** that the complexity of AI technologies can produce in the wider public.

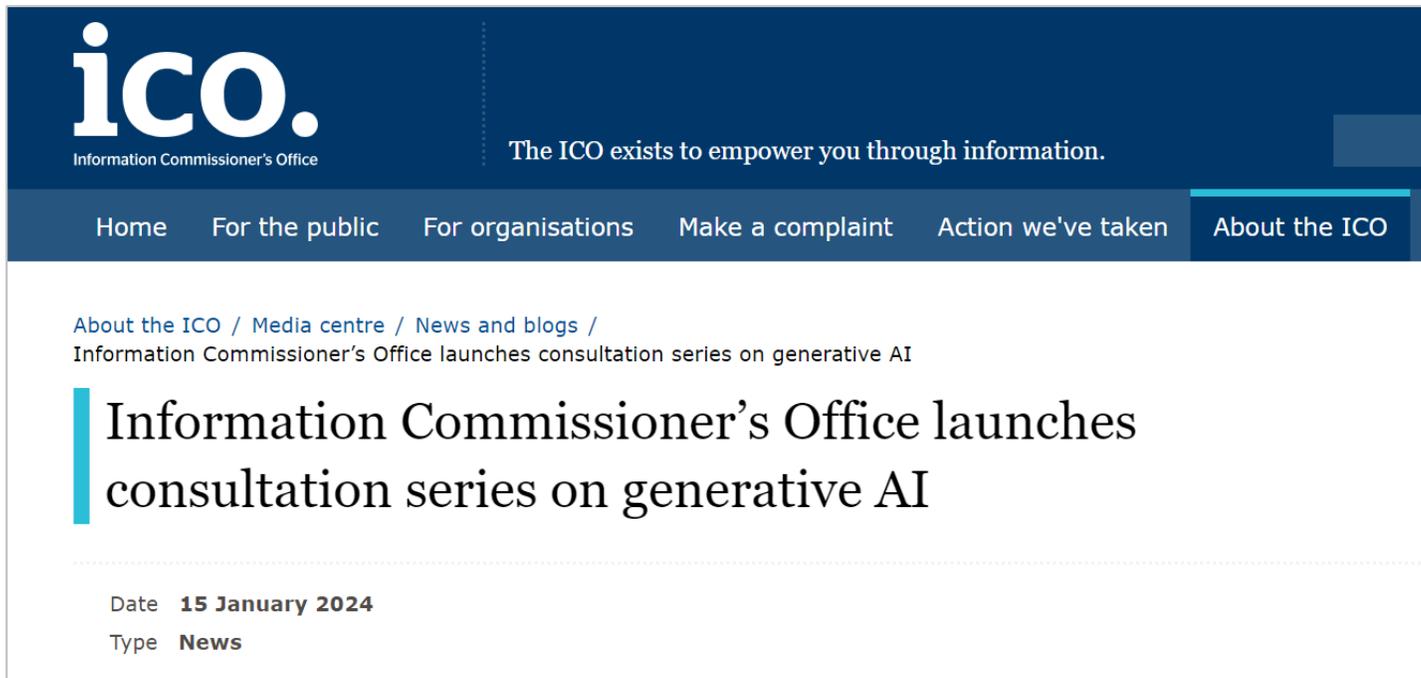
# REGULATORY FRAMEWORK





# WHAT MIGHT REGULATORS DO?

## CONSULTATIONS



The screenshot shows the top of the Information Commissioner's Office (ICO) website. The header is dark blue with the 'ico.' logo and the tagline 'The ICO exists to empower you through information.' Below the header is a navigation menu with links: Home, For the public, For organisations, Make a complaint, Action we've taken, and About the ICO. The main content area is white and features a breadcrumb trail: 'About the ICO / Media centre / News and blogs / Information Commissioner's Office launches consultation series on generative AI'. The main headline reads 'Information Commissioner's Office launches consultation series on generative AI'. Below the headline, it states 'Date 15 January 2024' and 'Type News'.

**ico.**  
Information Commissioner's Office

The ICO exists to empower you through information.

Home For the public For organisations Make a complaint Action we've taken About the ICO

About the ICO / Media centre / News and blogs /  
Information Commissioner's Office launches consultation series on generative AI

## Information Commissioner's Office launches consultation series on generative AI

Date **15 January 2024**  
Type **News**

The first consultation examines whether it is lawful to train generative AI on personal data scraped from the web...

Future consultations will examine issues such as the accuracy of generative AI outputs and will be launched throughout the first half of 2024.

# WHAT MIGHT THE CONSERVATIVES DO?



## FROM THE WHITE PAPER:

During the initial period of implementation, we will continue to collaborate with regulators to identify any barriers to the proportionate application of the principles and evaluate whether the non-statutory framework is having the desired effect.

Following this initial period of implementation, and when parliamentary time allows, we anticipate introducing a statutory duty on regulators requiring them to have due regard to the principles.

**TECHMONITOR** 30  
PROUDLY CELEBRATING 30 YEARS OF INDEPENDENT IT JOURNALISM

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TECHNOLOGY > AI AND AUTOMATION | January 12, 2024

## Future UK laws on AI to be informed by key 'tests'

Keen on maintaining its light-touch regulatory posture, the UK government's intent is that certain thresholds must be met before it passes new AI laws.

By Greg Noone



# WHAT MIGHT LABOUR DO?

## LABOUR'S 5 MISSIONS



## IN THE MEDIA:

Techmonitor.ai, UK government approach to AI leaves workers disadvantaged, Labour says, 11 July 2023

- “If elected, Labour says it will take an approach that aims to ensure that businesses have stability and workers have confidence they won’t be ‘thrown overboard’ in a bid to turn Britain into an AI-first economy.”
- “Labour is calling for a similar approach [to the EU AI Act] in the UK. This could include licensing of the development of AI, not just regulation on how it is being used.”

# WHAT IT MEANS FOR MARKETERS



# USING AI FOR MARKETING



## ARE YOU DOING THESE NOW?

### AUTOMATE THE GRIND

- Report generation
- Following up with SMEs

### ROUTINE WORKFLOWS

- Automated emails

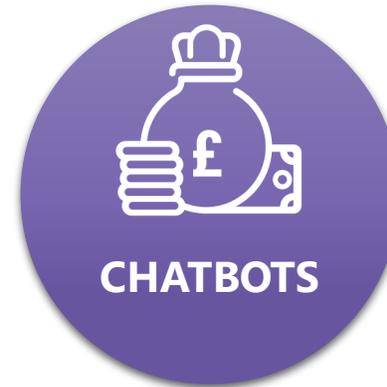
### BEHAVIOURAL ADVERTISING

- Automated ad targeting

### CUSTOMER INSIGHTS

- Eye tracking
- Online and instore behaviour

## FIVE WAYS TO USE GENERATIVE AI



# MARKETING AI-POWERED PRODUCTS & SERVICES



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TECHNOLOGY > AI AND AUTOMATION | January 10, 2024 | updated 11 Jan 2024 9:55am

## Survey reveals emerging AI 'trust gap' between employees and corporate leadership

A new poll by Workday reveals consensus on AI's potentiality in the workplace between the two groups – but not about how it's implemented.

CA How many people consider privacy when making purchasing decisions?

It is difficult to determine exactly how many people consider privacy when making purchasing decisions, as this can vary depending on various factors such as the product, the individual's values and beliefs, and their level of awareness of privacy concerns.

However, studies have shown that privacy is a growing concern among consumers, particularly in the digital age where personal data is constantly being collected and shared by companies. According to a 2019 survey by the Pew Research Center, 79% of adults in the United States are concerned about the way their data is being used by companies.

Furthermore, a survey conducted by Cisco in 2020 found that 70% of consumers consider cybersecurity and data privacy as important factors when making purchasing decisions. Another survey by the GlobalWebIndex in 2019 found that 64% of internet users were more likely to purchase from brands that are transparent about their data collection and usage policies.

Therefore, it is clear that privacy is a growing concern among consumers, and businesses that prioritize privacy and data protection are likely to gain a competitive advantage in the marketplace.

Roles for marketers:



Market research

User-centred product development

Put trust at the heart of marketing

Marketing from sale to feature adoption

# GETTING IT RIGHT



**Be bold – but  
don't scare  
people**

**DPIA**

**Transparency  
– clear &  
plain English**

**Test, test, test**

**Supervision**

**Know AI's  
limitations**



# THANK YOU FOR LISTENING

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## ANY QUESTIONS?



**INVESTORS IN PEOPLE®**  
We invest in people Gold



# / Panel discussion/Q&A

Enrico Giroto, Head of Policy, FEDMA

Camilla Winlo, Head of Data Privacy, Gemserv

Tim Roe, Compliance Director, Redeye



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# / Closing comments

Chris Combemale, CEO, DMA



# / Feedback Link

